FOCAL POINT SOLUTIONS GROUP
The Power of Services™

UCG Technologies
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KnowBe4
Human error. Conquered.

KCM
Audits Done. Half The Time.

Executive Summary

2021 Edition

KCM
Audits Done. Half The Time.

EXECUTIVE SUMMARY: 2021 Edition

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Simplifying the Governance, Risk, Compliance, and Audit Management Process

The KCM GRC platform simplifies the complexity of achieving compliance and eases the burden of remaining compliant year round; minimizing the busy work commonly associated with audits and risk assessments, while simultaneously allowing your team to remain productive and functioning as usual.

KCM GRC is a SaaS-based GRC platform that helps you effectively and efficiently manage risk and compliance within your organization and across your third-party security vendors, while gaining insight into gaps within your security program. The KCM GRC platform is offered in different packages to meet the needs of all organizations and is available with the following modules to choose from:

- Compliance Management
- Policy Management
- Risk Management
- Vendor Risk Management

KCM GRC Can Help

- Manage the complicated area of compliance and audits; clarifying what needs to be done, who is going to do it, when it's due, and where to put the supporting documentation, simplifying and shortening the audit process.
- Centralize your policy distribution and tracking through policy campaigns to help manage policy distribution, reminders, and user acknowledgment.
- Simplify your risk management process with easy risk management workflows that help you identify, respond, and monitor risk.
- Prequalify risk, assess, and conduct remediation to continually monitor risk associated with your third-party vendors. With a single pane of glass view, you get continuous visibility into their controls and evidence libraries.

"We are now free of the stressful and inefficient cycle of playing last minute catch-up each time the next audit period rolls around."

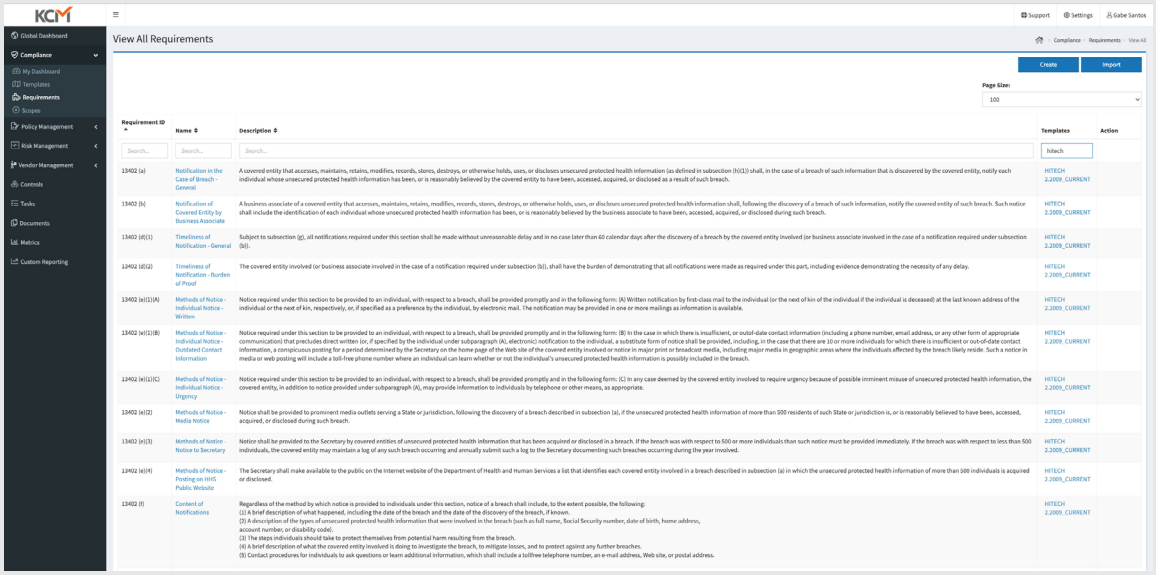
– KCM Customer in the payment processing industry

Compliance Management

The KCM Compliance Management module effectively reduces the time you need to satisfy all of the requirements necessary to meet compliance goals, leading to significantly less time and money spent dealing with compliance and audits.

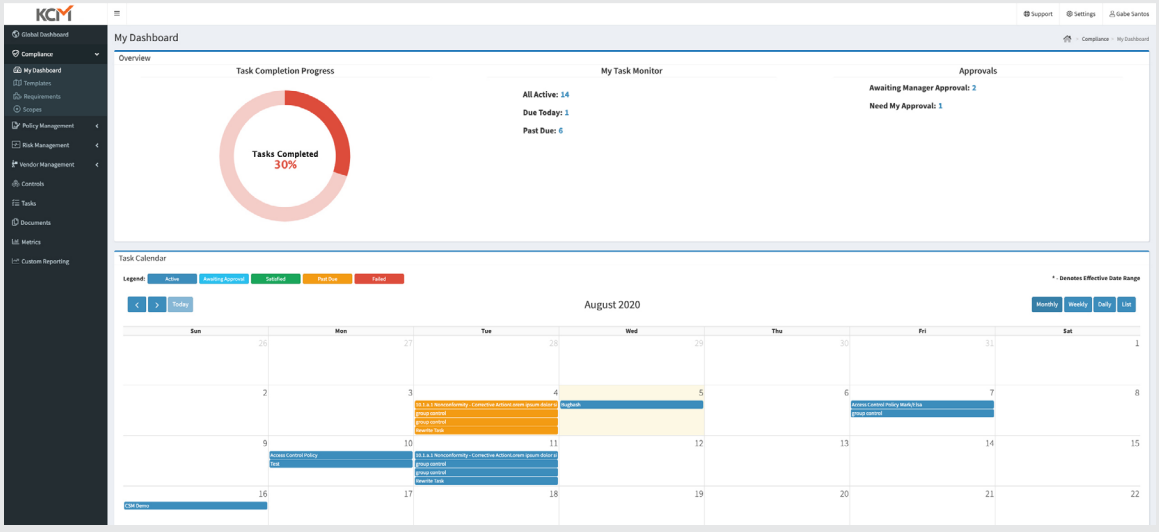
View All Compliance Requirements

View all your compliance requirements with details, descriptions, status, scope, and controls for each requirement.



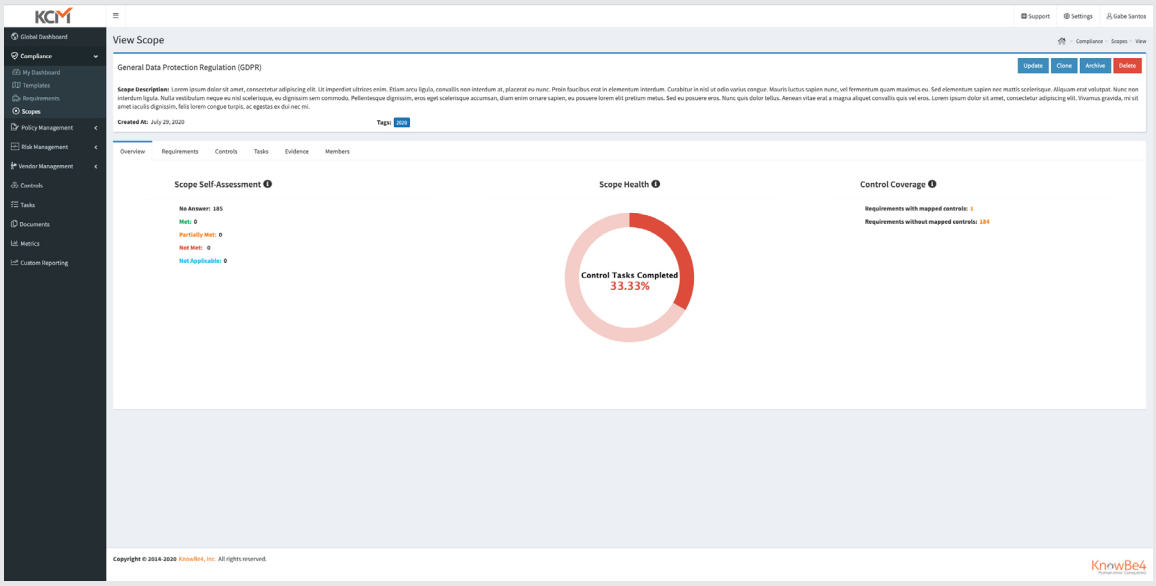
View All Compliance Tasks

The “My Dashboard” view narrows focus to tasks assigned to an individual end user, allowing your entire organization to work together towards compliance.



View by Scope and Scope Status Report

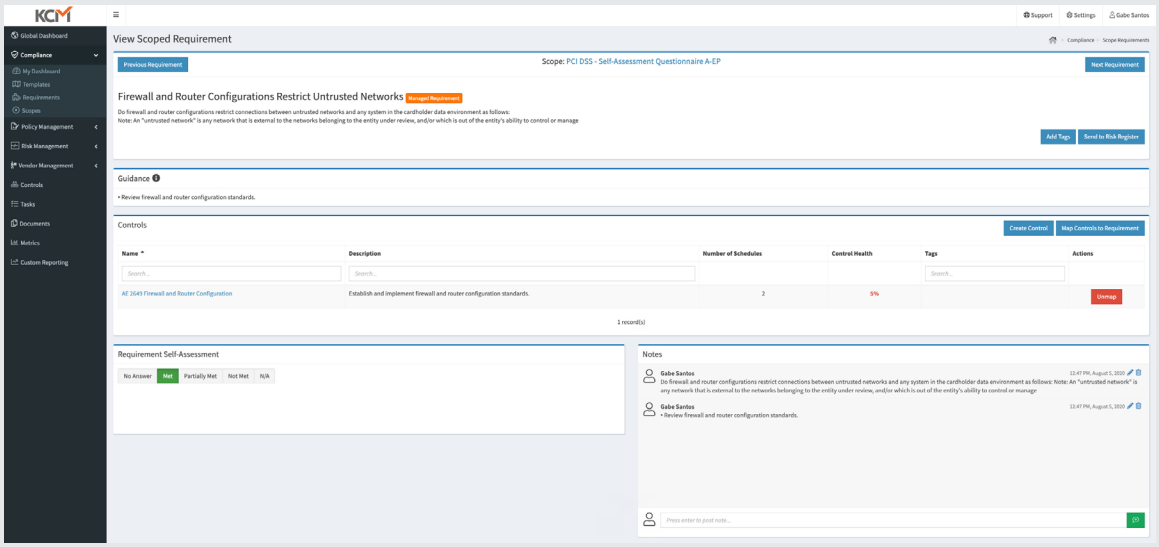
Scopes enable you to track multiple projects in one place simultaneously allowing you to provide granular user permissions across each project.



Compliance Templates and Control Guidance

The Compliance Management module comes with over 100 managed compliance templates maintained by KnowBe4. These pre-built templates are available for some of the most common regulations such as PCI, Cloud Security Alliance, Center for Internet Security, NIST, HIPAA, FFIEC, Secure Controls Framework, GDPR, FedRAMP, AICPA SSAE18, and more. You also have the ability to customize existing templates or create your own custom templates to fit the needs of your organization.

Use KCM's control guidance feature to help you create adequate controls to meet your specific scopes and requirements. KCM provides suggestions in-platform with control guidance added for the requirements under many of the managed templates KCM offers. Control guidance is available for the most commonly used frameworks including CMMC, GDPR, HIPAA, NIST, PCI, and more.

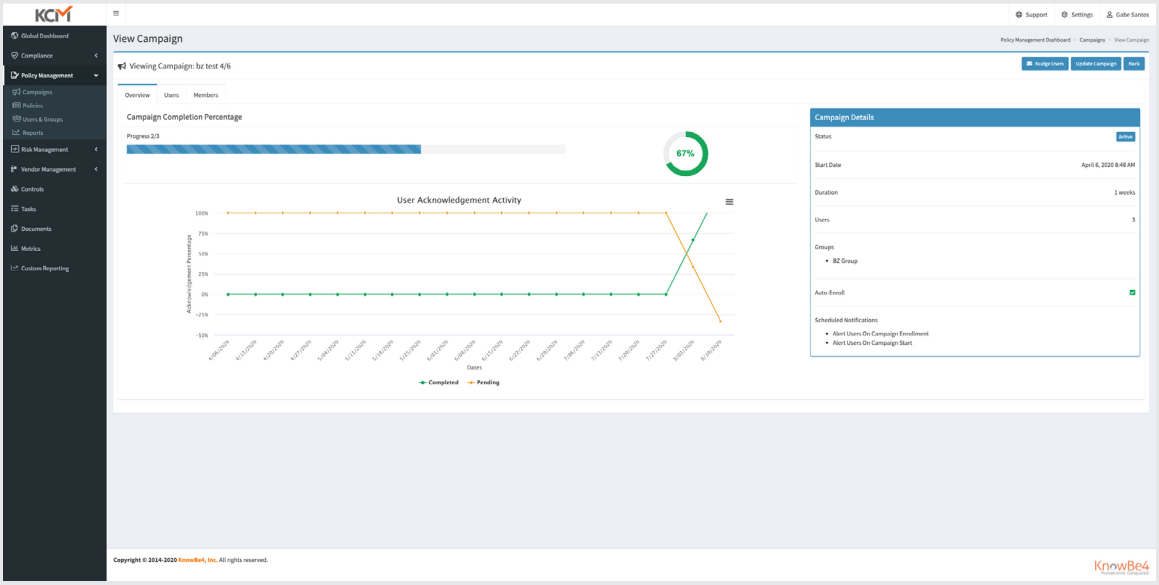


Policy Management

The KCM Policy Management module helps you centralize policy distribution and tracking. Automate your policy management workflow with automated notifications, tasks, and reminders prompted by any event you like, such as an upcoming review date.

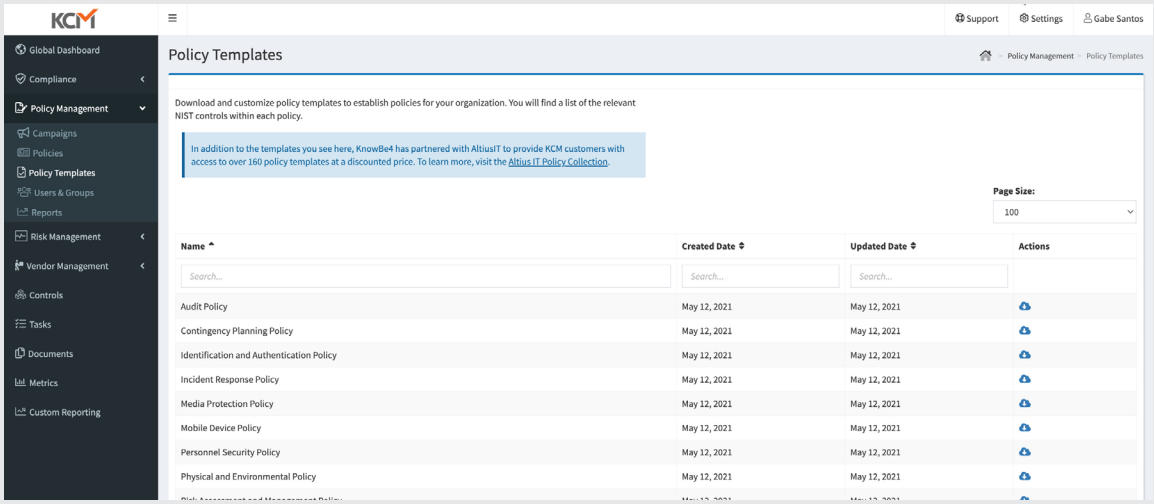
View Policy Campaign

See all campaign details and easily keep track of your policy campaign completion percentage and user acknowledgments.



Save Time with Policy Templates

To make it easier to create new policies, you can search KCM's library of available policy templates, customize them for your organization's specific needs, and upload them to the template repository in the Policy Management module.

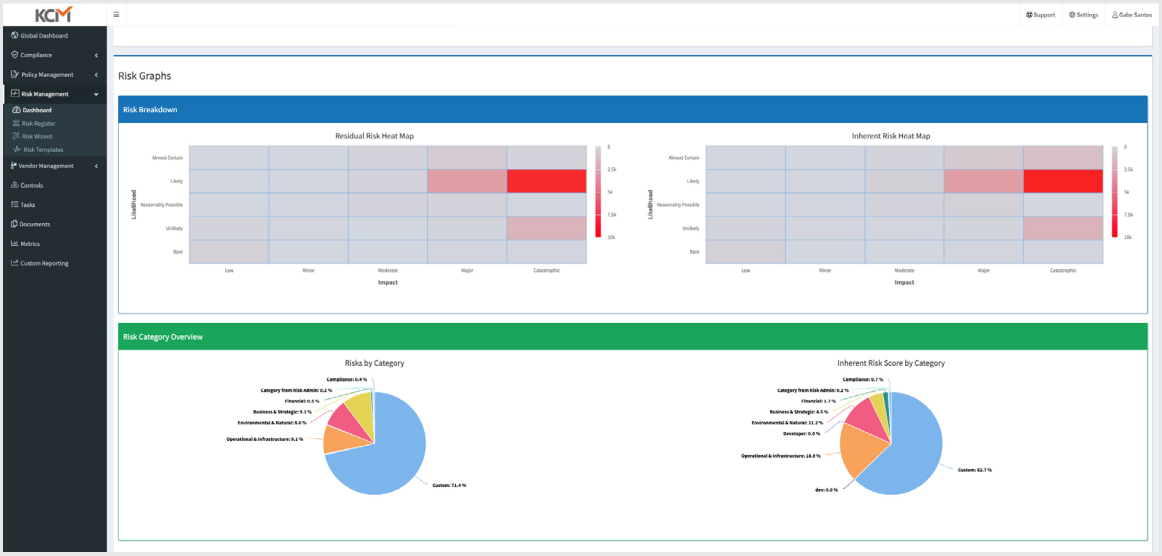


Risk Management

With the KCM Risk Management module you can simplify and streamline your risk initiatives resulting in better visibility and increased efficiency. Ultimately, this leads to a more resilient organization.

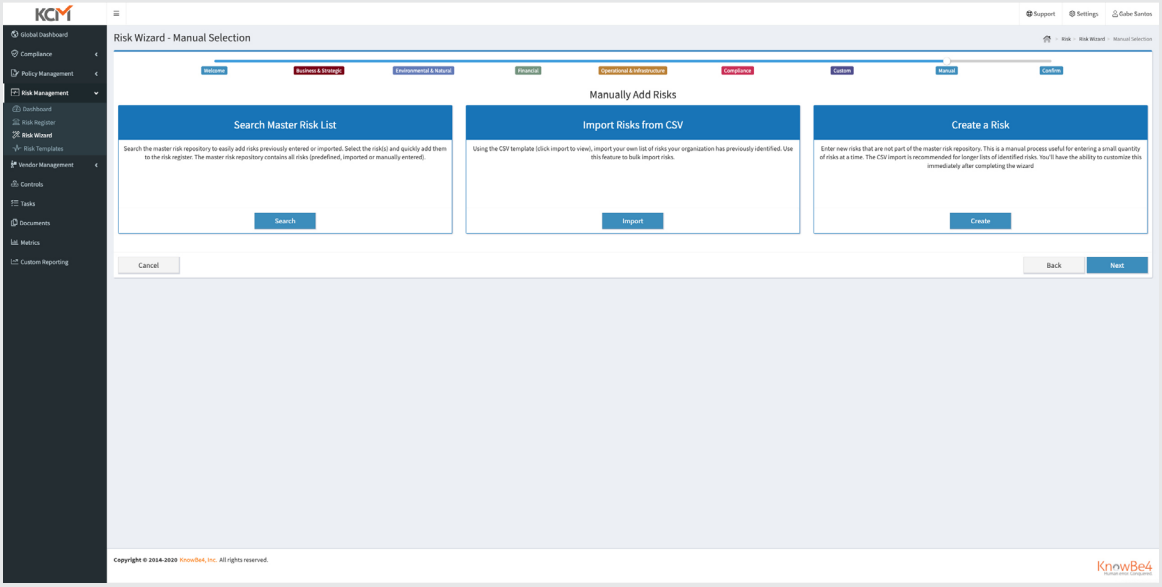
View Risk Breakdown and Risk Categories

The Risk Dashboard gives you high-level details on your risk categories and risk score associated with these categories.



Easily Add Risks Using the Risk Wizard

The Risk Wizard makes it easy for you to create unique organizational risks or import risks from existing spreadsheets to your risk register.

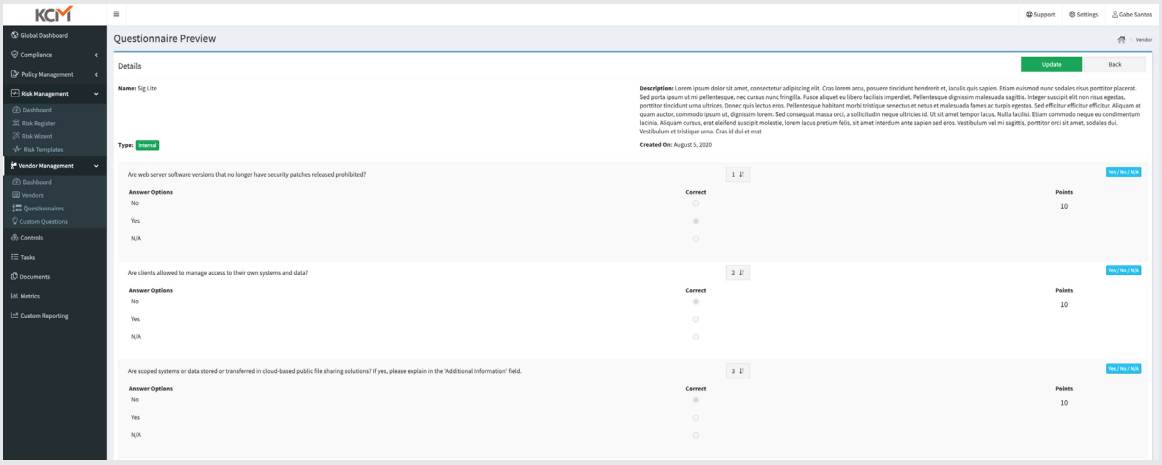


Vendor Risk Management

The KCM Vendor Risk Management module helps you manage your third-party vendor security risk requirements. Centralize your process, prequalify risk, assess your vendors, and conduct remediation to continually monitor risk associated with your vendors. You can set the frequency of how often your vendors are assessed and easily maintain updated material for all your critical vendors. Easily keep track of your vendors' compliance requirements, services they provide, and what data they have access to in one centralized repository.

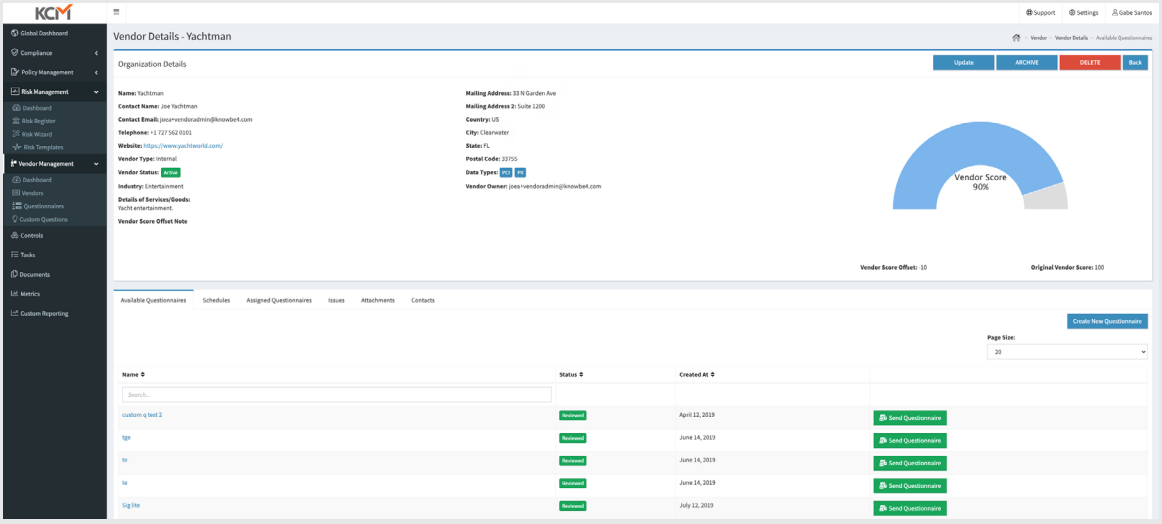
Use Pre-built and Customizable Questionnaire Templates

Ensure standard and consistent vendor assessments with pre-built and customizable questionnaire templates. You have the ability to generate assessments in HTML or CSV, depending on your preferred workflow.



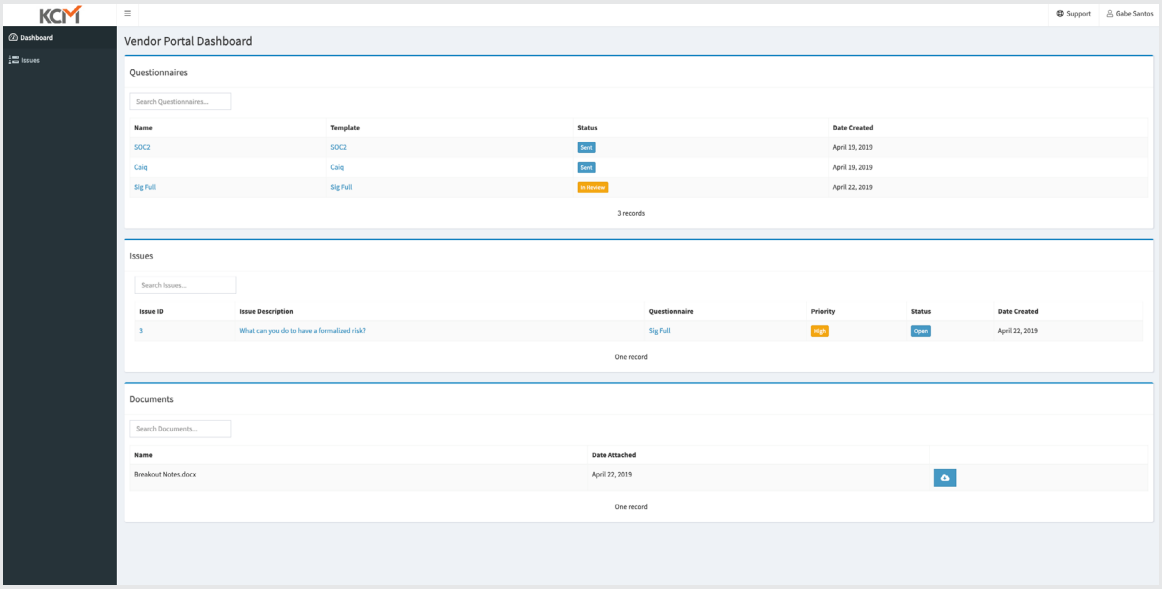
View Vendor Details

View all your vendor details in one place to assess and monitor compliance and risk requirements for all your third parties.



Vendor Login

Your vendors login to an intuitive portal to upload, import, and complete required questionnaires or to provide their evidence controls.



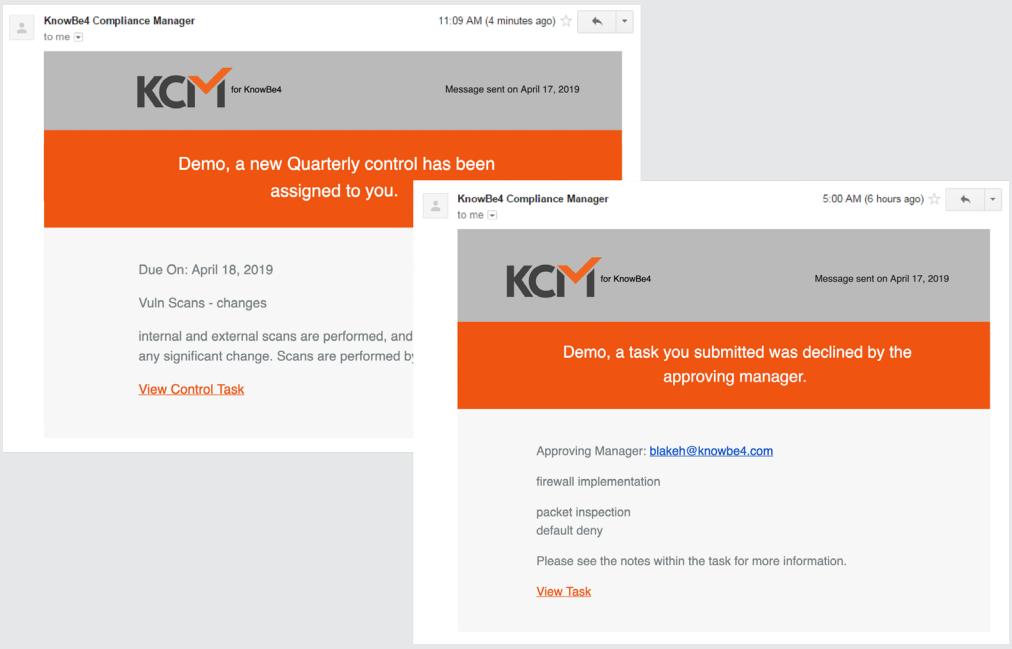
Vendor Assessment Templates

The KCM Vendor Risk Management module includes some of the common assessment templates. Included are Shared Assessments' SIG questionnaires, Cloud Security Alliance CAIQ, and EDUCAUSE HECVAT. In addition, users can create their own templates or import your own.

Key Features in the KCM GRC Platform

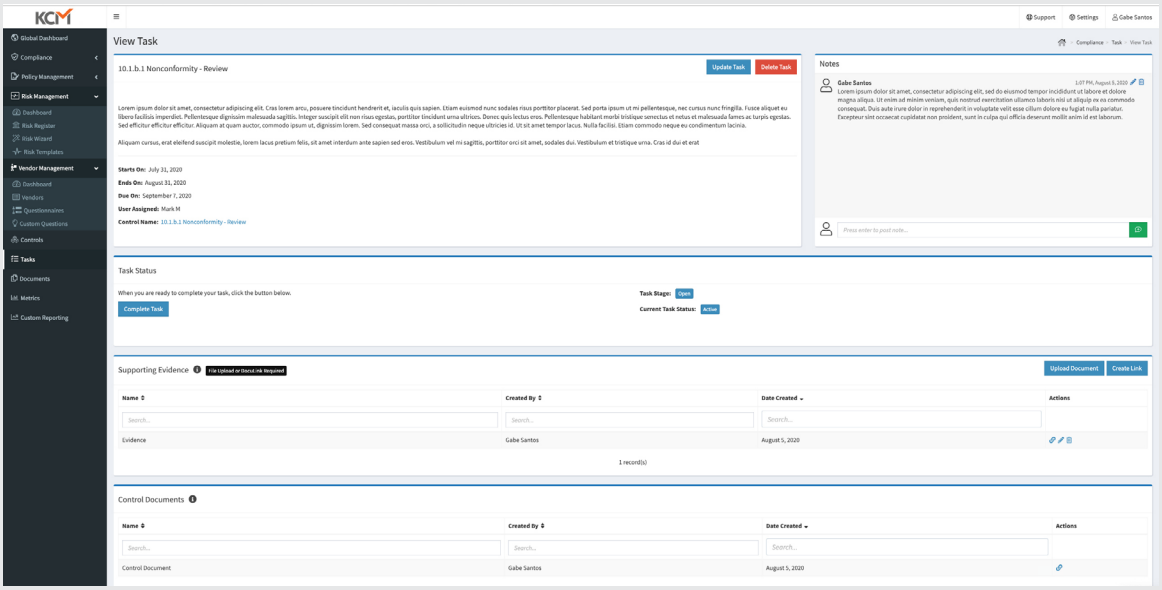
Automated Email Reminders for Task Completion

Concise reminder emails are automatically sent out to team members based on upcoming due dates on tasks they've been assigned. Streamlining and automating the process of chasing down evidence allowing you to easily remain compliant year round.



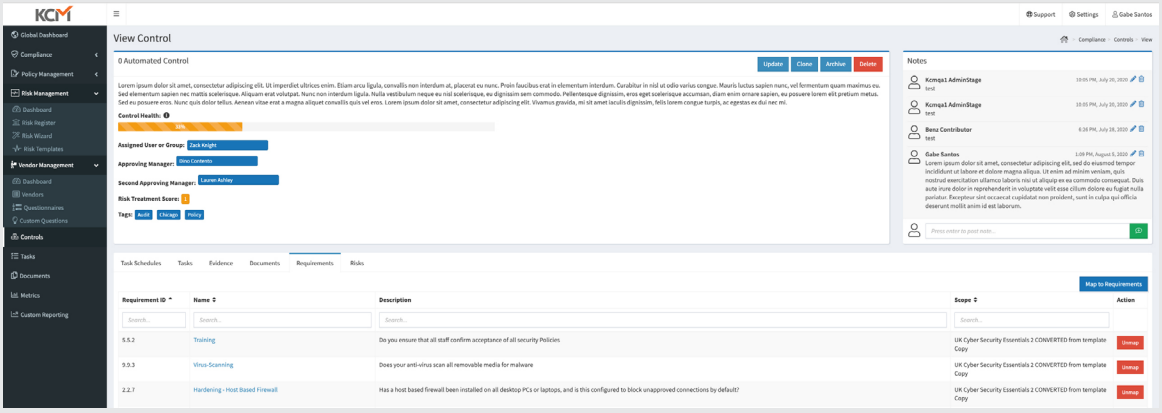
View Task Details

Our Task view makes providing supporting documentation and notes incredibly simple, giving all departments a painless way to stay on the path to remaining in compliance.

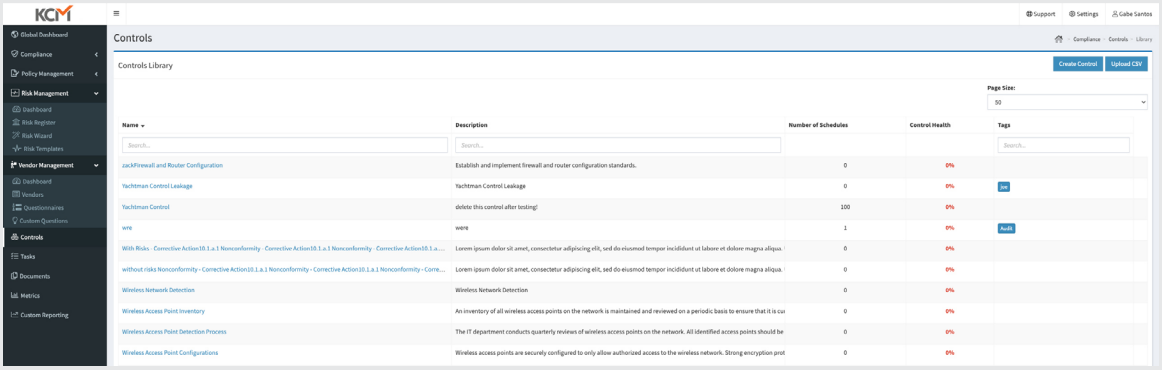


Controls Information and Controls Library

Assign responsibility to individual users, manage testing schedules setting specific dates, and track evidence and requirements in the Controls view.

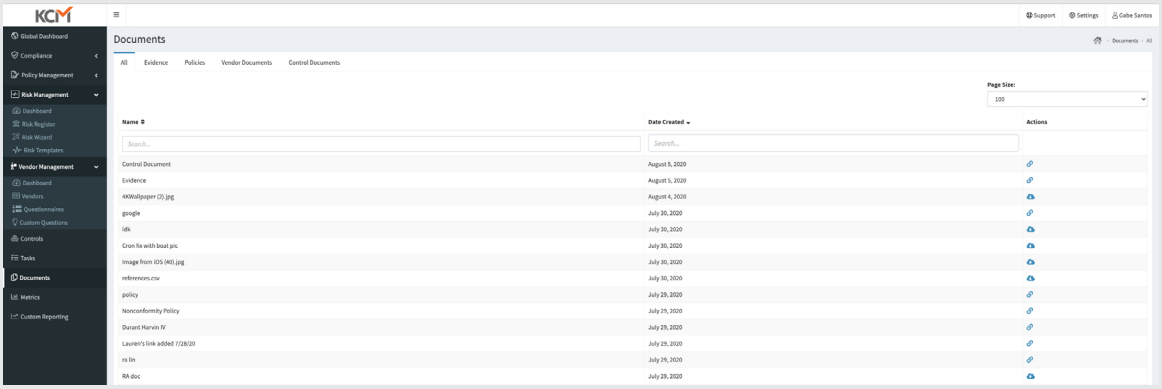


Our Controls Library contains a listing of all controls created within your account allowing you to see which scope the control relates to, it's frequency, the responsible individual and its ongoing compliance percentage. This is the central location for you as the administrator to view all of your controls and business processes.



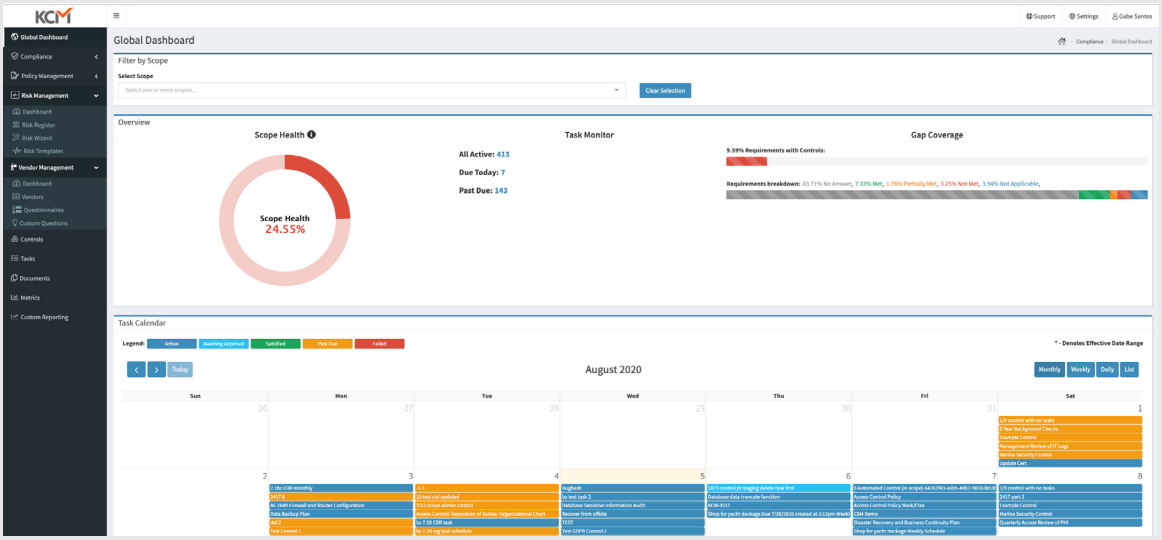
Documents – Uploads and DocuLinks

KCM's Documents section is a central location of all the files and DocuLinks (URLs) created within your account. You have the option to upload evidence directly to KCM (AES256 encrypted) or to provide DocuLinks back to evidence you host on your intranet.



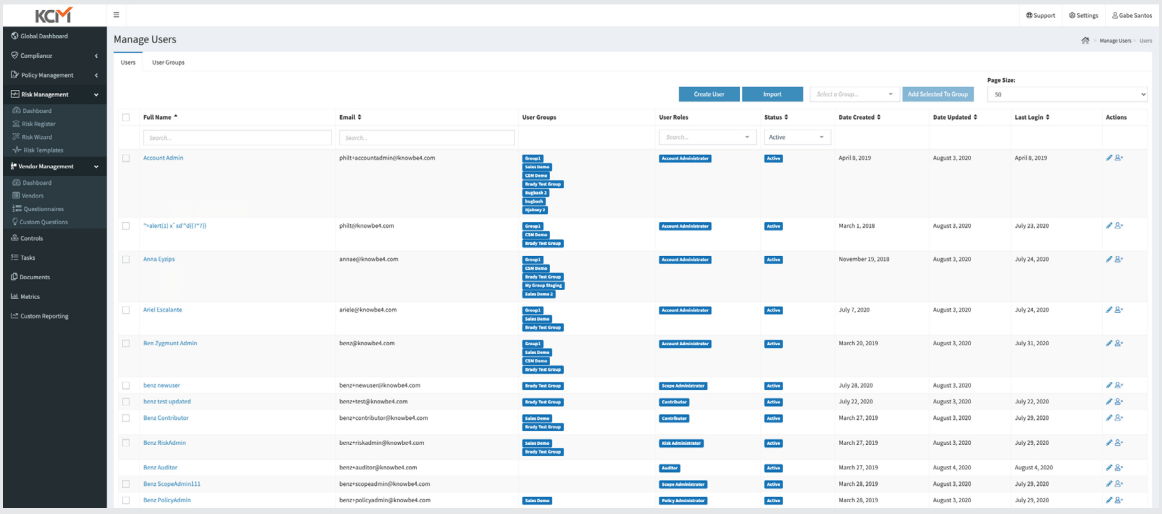
Dashboards – Global, by Scope, and User

The global dashboard shows all tasks across the entire organization allowing you to see at-a-glance how your entire organization is doing as you work towards getting compliant and staying compliant. This view can be limited by Scope as well. Each module within KCM has its own dashboard as well.



Role-Based Access Control

KCM leverages RBAC for user administration. Your users only get access to the information needed based on different role types. Users can have multiple roles, depending on the implemented modules. There are even auditor roles to provide to outside consultants to review evidence and controls.



Generate Custom Reports

Effectively report on the status of your compliance and risk management initiatives using KCM's Custom Reporting feature. Easily create and save reports that provide details on task status, user activities, and the rate of completion across your different scopes and control requirements. From within each report, you can filter and sort your data based on the criteria most important to you, and even export your reporting data to third-party BI tools. KCM makes it easy for you to demonstrate overall progress and health of your compliance program to your executive team.

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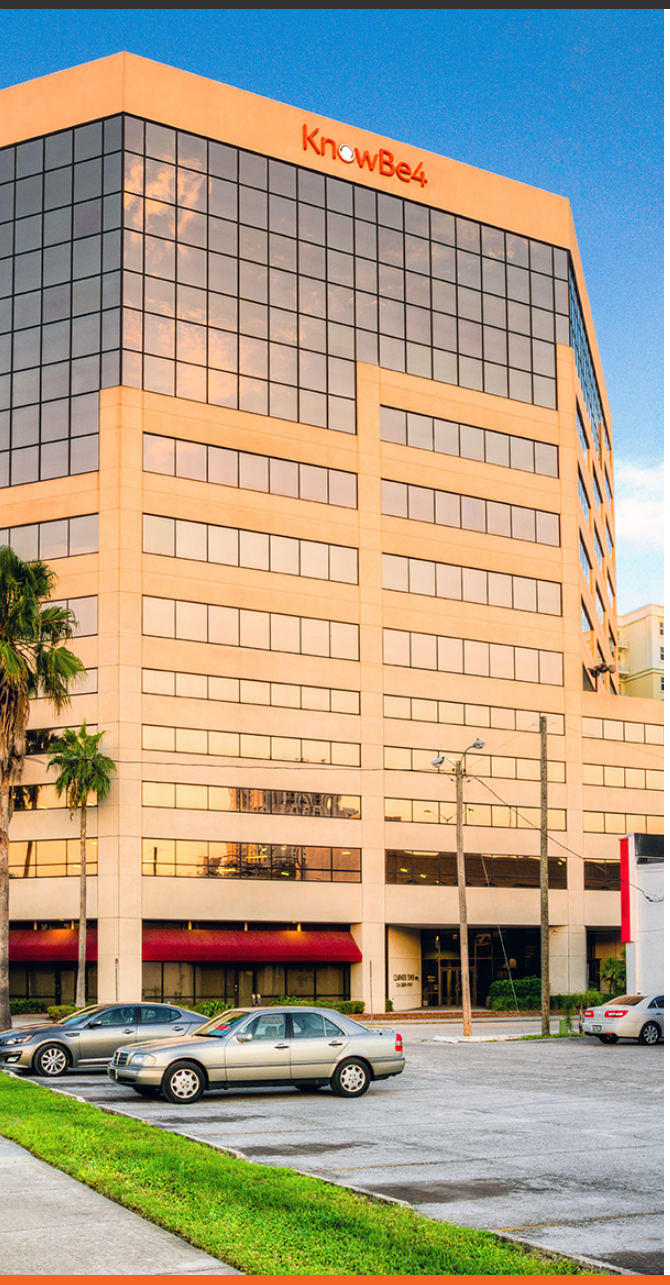
KCM GRC helps you get audits done in half the time at half the cost.



About KnowBe4

KnowBe4 is the world's largest integrated security awareness training and simulated phishing platform. Realizing that the human element of security was being seriously neglected, KnowBe4 was created to help organizations manage the ongoing problem of social engineering through a comprehensive new-school awareness training approach.

Tens of thousands of organizations worldwide use KnowBe4's platform across all industries, including highly regulated fields such as finance, healthcare, energy, government and insurance to mobilize their end users as a last line of defense and enable them to make smarter security decisions.

Many of our customers needed to provide security training to meet regulatory compliance. While working with these customers, KnowBe4 quickly discovered a need for a streamlined system to manage the compliance, risk, and audit process.

KCM GRC is SaaS-based governance, risk, and compliance platform that helps streamline and centralize compliance, risk, and audit processes across your entire organization and across your third-party vendors.

KCM simplies the complexity of achieving compliance and eases the burden of demonstrating compliance to auditors and stakeholders; minimizing the busy work commonly associated with audits and compliance, eliminating the hassle of evidence collection from multiple departments, while simultaneously allowing your team to remain productive and functioning as usual.

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