



# Compliance for Healthcare Organizations



UCG Technologies is the data protection expert, trusted by healthcare organizations of all sizes. Since 1987, we have delivered easy-to-use solutions that keep data secure and immediately recoverable while controlling costs. Our disk-to-disk backup and recovery technology, coupled with consulting and educational services, provides you with data protection that helps assure compliance with the Health Insurance Portability and Accountability Act (HIPAA).

## High performance backup and recovery

The increasing complexity of your IT environment, especially the hyper-growth of data and applications, makes it essential that your backup and recovery system is at once high-performing, easy to configure, and easy to use. UCG's VAULT400 Cloud Backup & DRaaS provides that in a unified solution that integrates with your systems seamlessly and scales readily as you grow. VAULT400 backs up your core systems, networked environments, and mobile devices wherever they are, and no matter how complex.

## Eliminate compliance concerns

All of our data centers complement a variety of industry and government mandates including HIPAA, PCI DSS and SOX, supported by third-party SSAE 16/SOC attestation reports.

To comply with HIPAA, your organization must securely back up data, retain disclosures, provide physical and technical safeguards, and ensure disaster recovery. UCG's data protection platform simplifies this, with uncompromising performance, brilliant simplicity and a comprehensive breadth of application and platform support.

The technology behind VAULT400 Cloud Backup & DRaaS has enabled the safekeeping of more than 40% of the world's digital information.



## KEY BENEFITS

- Cloud, hybrid cloud or private cloud options
- Breadth of product support—most platforms and databases
- Best in industry compression, de-dup and bit-block processing
- 19 secure, regulatory compliant data centers
- AES bit 256 encryption at rest and in flight
- Image-based backup and bare metal restore (BMR) - Physical Server
- Snapshot protection for vSphere and Hyper-v – Virtual Server
- Customized scheduling and bandwidth throttling
- Granular restore for quick recovery of files and folders
- Tiered hardware recovery for IBM Power8 (i) and Intel (vSphere and Hyper-v)
- Managed by experts with 30+ years in the industry

Learn more at [ucgtechnologies.com](http://ucgtechnologies.com)



## HIPAA Implications for Data Retentions Addressed

Policies and Procedures	Offsite Storage	Data Availability	Security and Privacy	Retentions
Documented backup and recovery plan	Patent-pending delta-processing technology enables high performance WAN/LAN backup and recovery and optimizes storage  Disaster Recovery testing	Immediate file and folder-level recovery	NIST-certified AES Encryption End-to-End	Policy-based automated retentions you set based on your needs
Emergency contingency planning		File search to support regulatory audits	SAS 70 certified	File level archiving
Log reports		Disaster recovery strategies and specialized services	Disk-based storage—no tape-based risk	Email and IM backup and archiving

## HIPAA Compliance Checklist

<p><b>Rule on Security Standards:</b> <b>Administrative Safeguards</b> <i>Data Backup and Disaster Recovery</i></p> <p>Covered entities are responsible for backing up their data and establishing disaster recovery procedures. A contingency plan should be in place for responding to emergencies.</p>	<p>✓ UCG provides secure, reliable online data protection. Tape-based risk is eliminated. You never lose your data. UCG's Professional Services consultants help you develop a data protection strategy that assures you meet compliance requirements. This information is documented and delivered in a comprehensive data protection plan.</p>
<p><b>Privacy Rule:</b> <i>Retention of Disclosures</i></p> <p>Covered healthcare entities must keep track of disclosures of Protected Health Information (PHI) for a period of six years. This includes any part of a patient's medical record or payment history.</p>	<p>✓ UCG's ArcWare is a policy-based archiving solution that lets you move older files off production servers and store them in a Vault. Tiered storage strategies let you optimize production space.™ UCG's ProMail ensures your important electronic messages are safe and accessible. It captures, monitors, and archives email and instant messages up to seven years, and makes it easy to satisfy your retention policies.</p>
<p><b>Rule on Security Standards:</b> <b>Administrative Safeguards</b> <i>Access to Records</i></p> <p>Access to Electronic Protected Health Information (EPHI) must be restricted to only those employees who have a need for it to complete their job function.</p>	<p>✓ UCG's technology includes encryption in storage, as well as during transmission. Original versions of documents, once backed up, cannot be accessed except by authorized personnel. Data is encrypted before it leaves the firewall and remains that way. Not even UCG can access your information.</p>
<p><b>Rule on Security Standards:</b> <b>Administrative Safeguards</b> <i>Outsourcing</i></p> <p>Covered entities that outsource some of their business processes to a third party must ensure that their vendors also have a framework in place to comply with HIPAA requirements.</p>	<p>✓ UCG data centers complement a variety of industry and government mandates including HIPAA, PCI DSS and SOX, supported by third-party SSAE 16/SOC attestation reports.</p>
<p><b>Rule on Security Standards:</b> <b>Physical Safeguards</b></p> <p>Access to equipment containing health information should be carefully controlled and monitored, based on facility security plans, maintenance records, and visitor sign-in and escorts.</p>	<p>✓ At our offsite data centers, security and availability of organization's data meet the highest industry standards. Access is strictly limited and enforced.</p>
<p><b>Rule on Security Standards:</b> <b>Technical Safeguards</b></p> <p>When information flows over open networks, some form of encryption must be utilized.</p>	<p>✓ UCG's AES encryption technology is NIST certified. It meets or exceeds all regulatory requirements including HIPAA.</p>

### UCG Technologies

7100 E. Pleasant Valley Road, Suite #250  
Independence, OH 44131-5556

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